

## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	METHODS FOR ELECTROSURGICAL TISSUE CONTRACTION WITHIN THE SPINE						
Application Number:	09/512742 						
Confirmation Number:	5264						
First Named Applicant:	Ronald Underwood						
Attorney Docket Number:							
Art Unit:	3739						
Examiner:	Lee Cohen						
Search string:	( 6517535 or 5584872 or 5609151 or 5725524 or 5676693 or 5282799 or 6068628 or 6210405 or 6096037 or 6267761 or 5944715 or 5484435 or 6296638 or 6296636 or 6306134 or 6293942 or 6264652 or 6224592 or 6261286 or 6416507 or 6254600 or 6432103 or 6391025 or 6416508 or 6238391 or 6179836 or 6379351 or 6228081 or 5057105 or 6363937 or 6235020 or 6190381 or 6159208 or 5496312 or 5314406 or 5556397 or 5334140 or 5324254 or 5810802 or 5769847 or 6027501 or 6056746 or 5380277 or 6066134 or 6015406 or 6126682 or 6068628 or 6039734 or 6074386 or 20020029036 ).pn.						
RECEIVED AUG 29 2003 TCC 3700 MAIL ROOM							
US Patent Documents							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
1	6517535	2003-02-11	Edwards		606	41	
2	5584872	1996-12-17	LaFontaine et al.		607	116	
3	5609151	1997-03-11	Mulier et al.		128	642	
4	5725524	1998-03-10	Mulier et al.		606	41	
5	5676693	1997-10-14	LaFontaine		607	116	
6	5282799	1994-02-01	Rydell		606	48	
7	6068628	1994-05-30	Fanton et al.		606	41	
8	6210405	2001-04-03	Goble et al.	B1	606	41	
9	6096037	2000-08-01	Mulier et al.		606	49	

10	6267761	2001-07-31	Ryan	B1	606	50
11	5944715	1999-08-31	Goble et al.		606	41
12	5484435	1996-01-16	Fleenor et al.		606	46
13	6296638	2001-10-02	Davison et al.		606	41
14	6296636	2001-10-02	Cheng et al.	B1	606	32
15	6306134	2001-10-31	Goble et al.	B1	606	42
16	6293942	2001-09-25	Goble et al.	B1	606	38
17	6264652	2001-07-24	Eggers et al.	B1	606	41
18	6224592	2001-05-01	Eggers et al.	B1	606	32
19	6261286	2001-07-17	Goble et al.	B1	606	34
20	6416507	2002-07-09	Eggers et al.	B1	606	32
21	6254600	2001-07-03	Willink et al.	B1	606	41
22	6432103	2002-08-13	Ellsberry et al.	B1	606	41
23	6391025	2002-05-21	Weinstein et al.	B1	606	41
24	6416508	2002-07-09	Eggers et al.	B1	606	32
25	6238391	2001-05-29	Olsen et al.	B1	606	41
26	6179836	2001-01-30	Eggers et al.	B1	606	45
27	6379351	2002-04-30	Thapliyal et al.	B1	606	41
28	6228081	2001-05-08	Goble	B1	606	34
29	5057105	1991-10-15	Malone et al.		606	28
30	6363937	2002-04-02	Hovda et al.	B1	128	898
31	6235020	2001-05-22	Cheng et al.	B1	606	34
32	6190381	2001-02-20	Olsen et al.	B1	606	32
33	6159208	2000-12-12	Hovda et al.		606	41
34	5496312	1996-05-05	Kliceck		606	34
35	5314406	1994-05-24	Arias et al.		604	21
36	5556397	1996-09-17	Long et al.		606	48
37	5334140	1994-08-02	Phillips		604	35
38	5324254	1994-06-28	Phillips		604	21
39	5810802	1998-09-22	Panescu et al.		606	31
40	5769847	1998-06-23	Panescu et al.		606	42
41	6027501	2000-02-22	Goble et al.		606	41
42	6056746	2000-05-02	Goble et al.		606	48
43	5380277	1995-01-10	Phillips		604	33
44	6066134	2000-05-23	Eggers et al.		606	32
45	6015406	2000-01-18	Goble et al.		606	41

46	6126682	2000-10-03	Sharkey et al.	607	96
47	6068628	2000-05-30	Fanton et al.	606	41
48	6039734	2000-03-21	Goble	606	41
49	6074386	2000-06-13	Goble et al.	606	34

## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

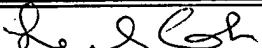
init	Cite.No..	Pub. No.	Date	Applicant	Kind	Class	Subclass
✓	1	20020029036	2002-03-07	Goble et al.	A1	606	38

## Remarks

Note: Remarks are not for responding to an office action.

This IDS Submission is the first of five. If there is a required fee the Commissioner is hereby authorized to charge our deposit account.

## Signature

Examiner Name	Date
	17/8/01



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S31	1	5725524	1998-03-10	Mulier et al.		606	41
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	3	6030383	2000-02-29	Benderev		606	45
	4	6109268	2000-08-29	Thapliyal et al.		128	898
	5	4931047	1990-06-05	Broadwin et al.		604	22
	6	6217575	2001-04-17	DeVore et al.	B1	606	41
	7	6379350	2002-04-30	Sharkey et al.	B1	606	41
	8	5085659	1992-02-04	Rydell		606	47
	9	5395312	1995-03-07	Desai		604	22

Q1	10	5330470	1994-07-19	Hagen	606	42	
	11	4832048	1989-05-23	Cohen	128	786	
	12	4823791	1989-04-25	D'Amelio et al.	123	303.14	
	13	6024733	2000-02-15	Eggers et al.	604	500	
	14	4785823	1988-11-22	Eggers et al.	128	692	
	15	4765331	1988-08-23	Petruzzi et al.	128	303.14	
	16	5207675	1993-05-04	Canady	606	40	
	17	5197963	1993-03-30	Parins	606	46	
	18	5267997	1993-12-07	Farin et al.	606	38	
	19	6322549	2001-11-27	Eggers et al.	B1	604	500
	20	6090106	2000-07-18	Goble et al.	606	41	
	21	5807395	1998-09-15	Mulier et al.	606	41	
	22	6210402	2001-04-03	Olsen et al.	B1	606	32
	23	6277112	2001-08-21	Underwood et al.	B1	606	32
	24	5873855	1999-02-23	Eggers et al.	604	114	
	25	6063079	2000-05-16	Hovda et al.	606	41	
	26	6117109	2000-09-12	Eggers et al.	604	114	
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	28	6179824	2001-01-30	Eggers et al.	B1	604	500
	29	6183469	2001-02-06	Thapliyal	B1	606	41
	30	5195959	1993-03-23	Smith	604	34	
	31	4202337	1980-05-13	Hren et al.	128	303.14	
	32	4248231	1981-02-03	Herczog et al.	128	303.14	
	33	5192280	1993-03-09	Parins	606	48	
	34	5035696	1991-07-30	Rydell	606	47	
	35	6096037	2000-08-01	Mulier et al.	606	49	
	36	5906613	1999-05-25	Mulier et al.	606	41	
	37	4040426	1977-08-09	Morrison, Jr.	128	303.17	
	38	5897553	1999-04-27	Mulier et al.	606	41	
	39	5876398	1999-03-02	Mulier et al.	606	41	
	40	5190517	1993-03-02	Zieve et al.	604	22	
	41	4936301	1990-06-26	Rexroth et al.	606	45	
	42	5888198	1999-03-30	Eggers et al.	604	114	
	43	4943290	1990-07-24	Rexroth et al.	606	45	
	44	4967765	1990-11-06	Turner et al.	128	785	
	45	6355032	2002-03-12	Hovda et al.	B1	606	32

46	6312408	2001-11-06	Eggers et al.	B1	604	114
47	4979948	1990-12-25	Geddes et al.		606	33
48	5197466	1993-03-30	Marchosky et al.		128	399
49	6102046	2000-08-15	Weinstein et al.		128	898

## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

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	1	20020029036	2002-03-07	Goble et al.	A1	606	38

## Remarks

Note: Remarks are not for responding to an office action.

This submission is third of five to be filed today.

## Signature

Examiner Name	Date
<u>Jesse</u>	7/8/07



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Application Number: 09/512742



Confirmation Number: 5264

First Named Applicant: Ronald Underwood

Attorney Docket Number: S31

Art Unit: 3739

Examiner: Lee Cohen

Search string: ( 5375588 or 6530922 ).pn.

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### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
1	1	5375588	1994-12-27	Yoon		128	4
2	2	6530922	2003-03-11	Cosman et al.	B2	606	34

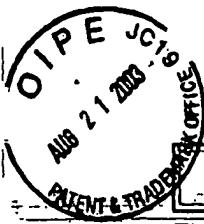
### Remarks

Note: Remarks are not for responding to an office action.

This submission is fourth of five submissions to be filed today.

### Signature

Examiner Name	Date
	7/18/07



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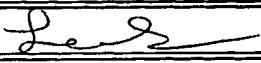
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<h3>US Patent Documents</h3> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>5897553</td><td>1999-04-27</td><td>Mulier</td><td></td><td>606</td><td>41</td></tr><tr><td></td><td>2</td><td>5556397</td><td>1996-09-17</td><td>Long et al.</td><td></td><td>606</td><td>48</td></tr><tr><td></td><td>3</td><td>5944715</td><td>1999-08-31</td><td>Goble et al.</td><td></td><td>606</td><td>41</td></tr><tr><td></td><td>4</td><td>5439446</td><td>1996-08-08</td><td>Barry</td><td></td><td>604</td><td>96</td></tr><tr><td></td><td>5</td><td>5954716</td><td>1999-09-21</td><td>Sharkey et al.</td><td></td><td>606</td><td>32</td></tr><tr><td></td><td>6</td><td>5980504</td><td>1999-11-09</td><td>Sharkey et al.</td><td></td><td>604</td><td>510</td></tr><tr><td></td><td>7</td><td>6007570</td><td>1999-12-28</td><td>Sharkey et al.</td><td></td><td>607</td><td>96</td></tr><tr><td></td><td>8</td><td>6056746</td><td>2000-05-02</td><td>Goble et al.</td><td></td><td>606</td><td>48</td></tr><tr><td></td><td>9</td><td>6039734</td><td>2000-03-21</td><td>Goble et al.</td><td></td><td>606</td><td>41</td></tr><tr><td></td><td>10</td><td>6073051</td><td>2000-06-06</td><td>Sharkey et al.</td><td></td><td>607</td><td>99</td></tr><tr><td></td><td>11</td><td>6074386</td><td>2000-06-13</td><td>Goble et al.</td><td></td><td>606</td><td>34</td></tr><tr><td></td><td>12</td><td>6068628</td><td>2000-05-30</td><td>Fanton et al.</td><td></td><td>606</td><td>41</td></tr><tr><td></td><td>13</td><td>5823955</td><td>1998-10-20</td><td>Kuck et al.</td><td></td><td>600</td><td>374</td></tr><tr><td></td><td>14</td><td>6319250</td><td>2001-11-20</td><td>Falwell et al.</td><td></td><td>606</td><td>41</td></tr></tbody></table>								init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass		1	5897553	1999-04-27	Mulier		606	41		2	5556397	1996-09-17	Long et al.		606	48		3	5944715	1999-08-31	Goble et al.		606	41		4	5439446	1996-08-08	Barry		604	96		5	5954716	1999-09-21	Sharkey et al.		606	32		6	5980504	1999-11-09	Sharkey et al.		604	510		7	6007570	1999-12-28	Sharkey et al.		607	96		8	6056746	2000-05-02	Goble et al.		606	48		9	6039734	2000-03-21	Goble et al.		606	41		10	6073051	2000-06-06	Sharkey et al.		607	99		11	6074386	2000-06-13	Goble et al.		606	34		12	6068628	2000-05-30	Fanton et al.		606	41		13	5823955	1998-10-20	Kuck et al.		600	374		14	6319250	2001-11-20	Falwell et al.		606	41
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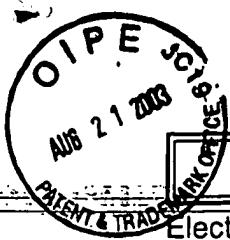
**Remarks**

Note: Remarks are not for responding to an office action.

This submission is fifth of five submissions for this application which was filed on 2/24/00. The previous submissions may have indicated the wrong filing date.

Signature

Examiner Name	Date
	7/8/01



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	9	6149620	2000-11-21	Baker et al.		604	22																																																																																

10	5843019	1998-12-01	Eggers et al.		604	22
11	6210402	2001-04-03	Olsen et al.	B1	606	32
12	5902272	1999-05-11	Eggers et al.		604	114
13	6093186	2000-07-25	Goble		606	34
14	4805616	1989-02-21	Pao		128	303.17
15	5171311	1992-12-15	Rydell et al.		606	48
16	4116198	1978-09-26	Roos		128	303.15
17	6159194	2000-12-12	Eggers et al.		604	500
18	5980516	1999-11-09	Mulier et al.		606	41
19	5431649	1995-07-11	Mulier et al.		606	41
20	6063081	2000-05-16	Mulier et al.		606	45
21	6016809	2000-01-25	Mulier et al.		128	898
22	6358248	2002-03-19	Mulier et al.	B1	606	41
23	6328736	2001-12-11	Mulier et al.	B1	606	45
24	6302903	2001-10-16	Mulier et al.	B1	607	105
25	6409724	2002-06-25	Penny et al.	B1	606	41
26	6482202	2002-11-19	Goble et al.	B1	606	41
27	6416509	2002-07-09	Goble et al.	B1	606	37
28	6491690	2002-12-10	Goble et al.	B1	606	41
29	6510854	2003-01-28	Goble	B2	128	898
30	6306134	2001-10-23	Goble et al.	B1	606	42
31	6325799	2001-12-04	Goble	B1	606	41
32	6322494	2001-11-27	Bullivant et al.	B1	600	104
33	6336926	2002-01-08	Goble	B1	606	34
34	6364877	2002-04-02	Goble et al.	B1	606	34
35	6398781	2002-06-04	Goble et al.	B1	606	41
36	5108391	1992-04-28	Flachenecker et al.		606	38
37	5609151	1997-03-11	Mulier et al.		128	642
38	5178620	1993-01-12	Eggers et al.		606	41
39	6293942	2001-09-25	Goble et al	B1	606	38
40	5290282	1994-03-01	Casscells		606	29
41	5300069	1994-04-05	Hunsberger et al.		606	37
42	5273524	1993-12-28	Fox et al.		604	21
43	4043342	1977-08-23	Morrison, Jr.		128	303.14
44	5267994	1993-12-07	Gentelia et al.		606	15
45	4228800	1980-10-21	Degler, Jr. et al.		128	303.14

	46	4232676	1980-11-11	Herczog	128	303.14
	47	4682596	1987-07-28	Bales et al.	128	303.14
	48	5405376	1995-04-11	Mulier et al.	607	127
	49	6238393	2001-05-29	Mulier et al.	B1	606
	50	6327505	2001-12-04	Medhkour et al.	B1	607
						99

## Remarks

Note: Remarks are not for responding to an office action.

This submission is the second of five to be filed today.

## Signature

Examiner Name	Date
	7/18/07



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

Title of Invention	METHODS FOR ELECTROSURGICAL TISSUE CONTRACTION WITHIN THE SPINE																																																																																																																																												
<p>Application Number: 09/512742 </p> <p>Confirmation Number: 5264</p> <p>First Named Applicant: Ronald Underwood</p> <p>Attorney Docket Number:</p> <p>Search string: ( 6261311 or 6575968 or 4269174 or 4483338 or 5626576 or 5785705 or 5823955 or 5916214 or 6096036 or 6105581 or 6122549 or 6258086 or 6283961 or 6319250 or 6500173 or 4582057 or 20020016583 ).pn.</p>																																																																																																																																													
<h3>US Patent Documents</h3> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>1</td><td>6261311</td><td>2001-07-17</td><td></td><td>Sharkey et al.</td><td></td><td></td><td></td></tr><tr><td>2</td><td>6575968</td><td>2003-06-10</td><td></td><td>Eggers et al.</td><td></td><td></td><td></td></tr><tr><td>3</td><td>4269174</td><td>1981-05-26</td><td></td><td>Adair</td><td></td><td></td><td></td></tr><tr><td>4</td><td>4483338</td><td>1984-11-20</td><td></td><td>Bloom et al.</td><td></td><td></td><td></td></tr><tr><td>5</td><td>5626576</td><td>1997-05-06</td><td></td><td>Janssen</td><td></td><td></td><td></td></tr><tr><td>6</td><td>5785705</td><td>1998-07-28</td><td></td><td>Baker</td><td></td><td></td><td></td></tr><tr><td>7</td><td>5823955</td><td>1998-10-20</td><td></td><td>Kuck et al.</td><td></td><td></td><td></td></tr><tr><td>8</td><td>5916214</td><td>1999-06-29</td><td></td><td>Cosio et al.</td><td></td><td></td><td></td></tr><tr><td>9</td><td>6096036</td><td>2000-08-01</td><td></td><td>Bowe et al.</td><td></td><td></td><td></td></tr><tr><td>10</td><td>6105581</td><td>2000-08-22</td><td></td><td>Eggers et al.</td><td></td><td></td><td></td></tr><tr><td>11</td><td>6122549</td><td>2000-09-19</td><td></td><td>Sharkey et al.</td><td></td><td></td><td></td></tr><tr><td>12</td><td>6258086</td><td>2001-07-10</td><td></td><td>Ashley et al.</td><td></td><td></td><td></td></tr><tr><td>13</td><td>6283961</td><td>2001-09-04</td><td></td><td>Underwood et al.</td><td></td><td></td><td></td></tr><tr><td>14</td><td>6319250</td><td>2001-11-20</td><td></td><td>Falwell et al.</td><td></td><td></td><td></td></tr><tr><td>15</td><td>6500173</td><td>2002-12-31</td><td></td><td>Underwood et al.</td><td></td><td></td><td></td></tr><tr><td>16</td><td>4582057</td><td>1986-04-15</td><td></td><td>Auth et al.</td><td></td><td></td><td></td></tr></tbody></table>						init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	1	6261311	2001-07-17		Sharkey et al.				2	6575968	2003-06-10		Eggers et al.				3	4269174	1981-05-26		Adair				4	4483338	1984-11-20		Bloom et al.				5	5626576	1997-05-06		Janssen				6	5785705	1998-07-28		Baker				7	5823955	1998-10-20		Kuck et al.				8	5916214	1999-06-29		Cosio et al.				9	6096036	2000-08-01		Bowe et al.				10	6105581	2000-08-22		Eggers et al.				11	6122549	2000-09-19		Sharkey et al.				12	6258086	2001-07-10		Ashley et al.				13	6283961	2001-09-04		Underwood et al.				14	6319250	2001-11-20		Falwell et al.				15	6500173	2002-12-31		Underwood et al.				16	4582057	1986-04-15		Auth et al.			
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## US Published Applications

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init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20020016583	2002-02-07	Cragg			

## Remarks

Note: Remarks are not for responding to an office action.

This submission is part 3 of 3 parts each submitted on February 11, 2004. The first part of this submission (part 1) has been concomitantly filed via first class mail with instructions for the PTO to charge the proper fee. Accordingly, we do not believe that this part (part 3) of this IDS submission should require an additional fee. Please charge our deposit account not more than one IDS submission fee for the entire submission consisting of 3 parts. Thank you.

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Application Number: 09/512742



Confirmation Number: 5264

First Named Applicant: Ronald Underwood

Attorney Docket Number:

Search string: ( 4658817 or 4896671 or 4958539 or 4976709  
or 5137530 or 5201729 or 5230334 or 5318564  
or 5374265 or 5403311 or 5433739 or 5439446  
or 5458596 or 5542945 or 5562703 or 5660836  
or 5720744 or 5846196 or 5860951 or 5954716  
or 5980504 or 6007570 or 6073051 or 5823955  
or 6319250 or 6261311 or 6575968 ).pn.

### US Patent Documents

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init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	4658817	1987-04-21	Hardy			
	2	4896671	1990-01-30	Cunningham et al.			
	3	4958539	1990-09-25	Stasz et al.			
	4	4976709	1990-12-11	Sand			
	5	5137530	1992-08-11	Sand			
	6	5201729	1993-04-13	Hertzmann et al.			
	7	5230334	1993-07-27	Klopotek			
	8	5318564	1994-06-07	Eggers			
	9	5374265	1994-12-20	Sand			
	10	5403311	1995-04-04	Abele et al.			
	11	5433739	1995-07-18	Sluijter et al.			
	12	5439446	1995-08-08	Barry			
	13	5458596	1995-10-17	Lax et al.			
	14	5542945	1996-08-06	Fritsch			
	15	5562703	1996-08-08	Desai			

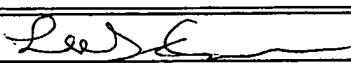
16	5660836	1997-08-26	Knowlton
17	5720744	1998-02-24	Eggleston et al.
18	5846196	1998-12-08	Siekmeyer et al.
19	5860951	1999-01-19	Eggers et al.
20	5954716	1999-09-21	Sharkey et al.
21	5980504	1999-11-09	Sharkey et al.
22	6007570	1999-12-28	Sharkey et al.
23	6073051	2000-06-06	Sharkey et al.
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### Signature

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	7/8/04